

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

| OMB APPROVAL                                   |           |
|--|-----------|
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

|  |         |  |  |   |  |       |
|--|---------|--|--|---|--|-------|
| 1. Name and Address of Reporting Person *<br>Caswell Bruce     |         | 2. Issuer Name and Ticker or Trading Symbol<br>MAXIMUS, INC. [MMS] |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)<br><b>CEO &amp; President</b> |  |       |
| (Last)   | (First) | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/18/2021 |   | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |       |
| C/O MAXIMUS, INC. ATTN: TREASURY DEPT, 1891 METRO CENTER DRIVE |         |  | 4. If Amendment, Date Original Filed (Month/Day/Year)          |   |  |       |
| (Street)<br>RESTON, VA 20190                                   |         |  | (City)   |   | (State)  | (Zip) |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price |   |  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|--|--|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |
| Common Stock (1)                           | \$ 72.31   | 11/18/2021                           |  | A                              | 10,705 (2)  | 09/30/2023   | (3)             | Common Stock  | 10,705                     | \$ 0                                       | 10,705   | D  |  |
| Common Stock (4)                           | \$ 81.30   | 11/22/2021                           |  | A                              | 22,632  | (5)  | (5)             | Common Stock  | 22,632                     | \$ 0                                       | 22,632   | D  |  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                 |       |
|---|---------------|-----------|-----------------|-------|
|   | Director      | 10% Owner | Officer         | Other |
| Caswell Bruce<br>C/O MAXIMUS, INC. ATTN: TREASURY DEPT<br>1891 METRO CENTER DRIVE<br>RESTON, VA 20190 |               |           | CEO & President |       |

## Signatures

|  |  |                     |
|--|--|---------------------|
| David R. Francis: As Attorney-In-Fact for: Bruce Caswell |  | 11/22/2021          |
| <small>**Signature of Reporting Person</small>           |  | <small>Date</small> |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Performance Restricted Stock Unit represents a contingent right to receive one share of common stock.

- (2) The shares were received for no consideration upon the satisfaction of performance criteria for the first tranche of the performance-vested restricted stock units granted on November 23, 2020 and remain subject to vesting based on continued employment through September 30, 2023.
- (3) Expiration date not applicable to Performance RSUs
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of common stock.
- (5) Restricted Stock Units vest based upon the following schedule, subject to deferred vesting for a longer period of the election of the individual, as permitted by the terms of the award:  
Shares Vest Date 7544 09/30/2022 7544 09/30/2023 7544 09/15/2024 Expiration date not applicable to RSUs

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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